# **EXHIBIT 9**

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

MORGAN, LEWIS & BOCKIUS LLP (PH) 1701 MARKET STREET PHILADELPHIA, PA 19103-2921 EXAMINER

HESS, DANIEL A

ART UNIT PAPER NUMBER

2876

DATE MAILED: 05/31/2018

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/699,567	04/29/2015	Declan Walsh	026723-02-5043-06	6857

TITLE OF INVENTION: DOSE COUNTER FOR INHALER AND METHOD FOR COUNTING DOSES

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0	\$0	\$1000	08/31/2018

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

#### Page 3 of 9 PageID:

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the

Authorized Signature

Typed or printed name

CURRENT CORRESPOND	DENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	í	papers.	Each additiona	l paper. s	such as an assignment ng or transmission.	or any other accompanying nt or formal drawing, must
<sup>28977</sup> MORGAN, LI 1701 MARKET PHILADELPHI		Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.						
FIIILADELFIII	IA, FA 19103-2921							(Depositor's name)
								(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTOR	NEY DOCKET NO.	CONFIRMATION NO.
14/699,567	04/29/2015		Declan Walsh			0267	/23-02-5043-06	6857
TITLE OF INVENTION	N: DOSE COUNTER FOI	R INHALER AND MET	THOD FOR COUNTIN	IG DOSE	ES			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE D	DUE PR	EV. PAID ISSUI	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0		\$0		\$1000	08/31/2018
EXAM	MINER	ART UNIT	CLASS-SUBCLASS	s				
HESS, D	ANIEL A	2876	235-008000					
	lence address or indication	552 0 % 11	2. For printing on	the naten	t front page lis	t		
CFR 1.363).			(1) The names of	up to 3 r	egistered paten		ys 1	
Address form PTO/S	oondence address (or Cha B/122) attached.	nge of Correspondence	or agents OR, alternatively,  (2) The name of a single firm (having as a member a 2					
"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
3. ASSIGNEE NAME A	AND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print of	or type)				
PLEASE NOTE: Un recordation as set for	lless an assignee is identi th in 37 CFR 3.11. Comp	fied below, no assigned letion of this form is NO	e data will appear on the DT a substitute for filing	he paten g an assi	t. If an assign	ee is ide	ntified below, the do	ocument has been filed for
(A) NAME OF ASSI			(B) RESIDENCE: (C					
Please check the appropr	riate assignee category or	categories (will not be p	orinted on the patent):	Ind	ividual 🖵 Co	orporation	n or other private gro	up entity 🔲 Government
4a. The following fee(s)	are submitted:	4	4b. Payment of Fee(s): (		irst reapply ar	y previo	ously paid issue fee s	shown above)
☐ Issue Fee			A check is enclosed.					
Publication Fee (No small entity discount permitted) Advance Order - # of Copies			☐ Payment by credit card. Form PTO-2038 is attached. ☐ The director is hereby authorized to charge the required fee(s), any deficiency, or credits any					
Advance Order -	# of Copies		overpayment, to I	Deposit A	Account Number	er	(enclose a	n extra copy of this form).
5 Change in Entity Ste	ntus (from status indicated	Labora)						
_ ~ .	ng micro entity status. Se							D/SB/15A and 15B), issue
☐ Applicant asserting small entity status. See 37 CFR 1.27			fee payment in the micro entity amount will not be accepted at the risk of application abandonment.  NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.					
Applicant changing to regular undiscounted fee status.				this box will be taken to be a notification of loss of entitlement to small or micro				tlement to small or micro
NOTE: This form must be	be signed in accordance w	rith 37 CFR 1 31 and 1 3	, , ,		requirements	and certi	fications	
2.0 12. 1mb form must	accordance v		See S. GIRI. HOL	2151111111	. 10quironionto	coiti		

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Date

Registration No.

# Case 2:20-cv-10172-JXN-MAH Document 109-10 Filed 08/06/21 Page 4 of 9 PageID: 3646



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

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14/699,567	04/29/2015	04/29/2015 Declan Walsh		6857	
28977 75	90 05/31/2018	EXAMINER			
	IS & BOCKIUS LL	HESS, DANIEL A			
1701 MARKET STREET PHILADELPHIA, PA 19103-2921			ART UNIT PAPER NUMBER		
		2876			
			DATE MAILED: 05/31/2018		

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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	Application No. 14/699,567	<b>Applicant(s)</b> WALSH ET AL.					
Notice of Allowability	Examiner DANIEL HESS	Art Unit 2876	AIA (First Inventor to File) Status No				
The MAILING DATE of this communication appearable claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGORY of the Office or upon petition by the applicant. See 37 CFR 1.313	OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	lication. If not will be mailed i	included n due course. <b>THIS</b>				
. ☑ This communication is responsive to 3/13/2018 amendment by applicant.  ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on							
<ol> <li>An election was made by the applicant in response to a restr requirement and election have been incorporated into this ac</li> </ol>		e interview on	; the restriction				
3. The allowed claim(s) is/are 1.2 and 4-14. As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.							
4. Acknowledgment is made of a claim for foreign priority under Certified copies:  a) All b) Some *c) None of the:  1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have 3. Copies of the priority documents have 3. Copies of the priority documents have 4. Copies of the priority documents have 4. Copies of the priority documents have 5. Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" of noted below. Failure to timely comply will result in ABANDONMITHIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 5. CORRECTED DRAWINGS (as "replacement sheets") must  including changes required by the attached Examiner's Paper No./Mail Date  claim including changes required by the attached Examiner's Paper No./Mail Date  lidentifying indicia such as the application number (see 37 CFR 1.8 each sheet. Replacement sheet(s) should be labeled as such in the deach sheet. Replacement sheet(s) should be labeled as such in the attached Examiner's comment regarding REQUIREMENT FO	been received.  been received in Application No uments have been received in this n  of this communication to file a reply of ENT of this application.  be submitted.  Amendment / Comment or in the Of B4(c)) should be written on the drawing the header according to 37 CFR 1.121(d)  OLOGICAL MATERIAL must be sub-	complying with ffice action of gs in the front (i).	the requirements				
Attachment(s)  1. Notice of References Cited (PTO-892)  2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  3. Examiner's Comment Regarding Requirement for Deposit of Biological Material  4. Interview Summary (PTO-413), Paper No./Mail Date  /DANIEL HESS/ Primary Examiner, Art Unit 2876	5. ☐ Examiner's Amendm 6. ☑ Examiner's Stateme 7. ☐ Other		for Allowance				

Application/Control Number: 14/699,567

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Art Unit: 2876

**DETAILED ACTION** 

This action is responsive to applicant's 3/13/2018 amendment.

The present application is being examined under the pre-AIA first to invent provisions.

Allowable Subject Matter

Claims 1, 2 and 4-14 are allowed.

The following is an examiner's statement of reasons for allowance: The prior art fails to teach or fairly suggest, in the context of all other elements of claim, the limitation,

"wherein, in the canister fire configuration, the actuator pawl is below a datum plane which passes through a shoulder of a valve stem block configured to receive the medicament canister."

The examiner performed a careful comparison of figure 2 of Bowman with instant figure 9, which shows the now claimed 'datum plane', and the 'shoulder of a valve stem block configured to receive the medicament canister'. From this it appears that in Bowman, this datum plane is somewhat below contacting portion of the drive means 50. The actuator pawl of Bowman is above the plane which passes through a shoulder of a valve stem block configured to receive the medicament canister as it advances the counter wheel.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

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fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

Art Unit: 2876

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DANIEL HESS whose telephone number is (571)272-2392. The examiner can normally be reached on 9:00 AM - 5:00 PM M-F.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Lee can be reached on (571) 272-2398. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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/DANIEL HESS/ Primary Examiner, Art Unit 2876